

Automey Docket No. 307.026US1

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

SHUFFLE MASTER

## **United States Patent Application**

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as

stated below next to my name; that I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: VIDEO GAMING APPARATUS FOR WAGERING WITH UNIVERSAL COMPUTERIZED CONTROLLER AND I/O INTERFACE FOR UNIQUE ARCHITECTURE.

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PET international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. §1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for IU patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

No such claim for priority is being made at this time.



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Serial No. not assigned Filing Date: not assigned

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Adims, Gregory J. Adams, Matthew W. Anglin, J. Michael Arora, Suneel Bianchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Leoniede M. Brennan, Leoniede M. Brennan, Thomas F. Brooks, Edward J., IIIReg. M. Chu, Dinh C.P. Clark, Barbara J. Dahl, John M. Orake, Eduardo E. Eliseeva, Maria M. Embretson, Janet E. Fogg, David N. Fordenbacher, Paul J. Reg.	Reg. No. P-44,494 Reg. No. 43,459 Reg. No. 24,916 Reg. No. 42,267 Reg. No. 39,610 Reg. No. 32,836 Rcg. No. 42,331 Reg. No. 35,832 Reg. No. 35,075 No. 40,925 Reg. No. 41,676 Reg. No. 38,107 Reg. No. 38,107 Reg. No. 40,594 Reg. No. 40,594 Reg. No. 43,328 Reg. No. 39,665 Reg. No. 39,665 Reg. No. 35,138	Forcest, Bradley A. Harris, Robert J. Huebsch, Joseph C. Jurkovich, Patti J. Kalis, Janal M. Kaufmann, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Leffert, Thomas W. Lemairc, Charles A. Liman, Mark A. Lundberg, Steven W. Reg. N. Mack, Lisa K. Maki, Peter C. Malen. Peter L. Males, Robert E. McCrackin, Ann M.	Reg. No. 30.837 Rog. No. 37,346 Reg. No. 42,673 Reg. No. P-44,813 Rug. No. 37,650 Reg. No. 24,017 Reg. No. 40,052 Reg. No. 32,146 Rcg. No. 41,136 Reg. No. 41,136 Reg. No. 36,198 Reg. No. 36,198 Reg. No. 26,390 o. 30,568 Reg. No. 42,825 Rcg. No. 42,825 Rcg. No. 42,832 Reg. No. 7-44,894 Reg. No. 35,271 Reg. No. 42,858	Nama, Kash Nelson, Albin J. Niclsen, Walter W. Oh, Allen J. Padys, Danny J. Parker, J. Kevin Peacock, Gregg A. Perdok. Monique M. Polglaze, Daniel J. Prout, William F. Schwegman, Micheal L. Sieffert, Kent J. Slifer, Russell D. Steffey, Charles E. Terry, Kathleen R. Viksnins, Ann S. Woessner, Warren D.	Reg. No. 44,255 Reg. No. 28,650 Reg. No. 25,539 Reg. No. 42,047 Reg. No. 35,635 Reg. No. 33,024 Reg. No. P-45,001 Reg. No. 42,989 Reg. No. 39,801 Reg. No. 33,995 Reg. No. 25,816 Reg. No. 41,312 Reg. No. 39,838 Reg. No. 25,179 Reg. No. 31,884 Reg. No. 31,884 Reg. No. 37,748 Reg. No. 30,440
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I hereby authorize them to act and rely on instructions from and communicate directly with the Į,

person/assignce/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have

consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:
P.O. Box 2938, Minneapolis, MN 55402

Telephone No. (612)373-6900

£	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and
	I hereby declare that all statements made herein of my own knowledge that willful false statements and the like so
belief	I hereby declare that all statements made herein of my own knowledge are title and that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so are like the statements and the like so are like the
	and sink the by fine or imprisonment or both, under section (our of this 10 of this
- etator	are punishable by the of imprisonments application or any patent issued thereon.

Eull Name of joint inventor number 1:

Mark L. Yoseloff

Citizenship:

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Signature:

Mark L. Yoseloff

Mark D. Jackson

Citizenship:

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Signature:

Mark D/Jackson

Date:

 $\underline{X}$  Additional inventors are being named on separately numbered sheets, attached hereto.





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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Michael G. Martinek Full Name of joint inventor number 3:

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United States of America

Residence: Fort Collins, CO

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Fort Collins, CO 80528

Signature:

Date:



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## § 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - prior art cited in search reports of a foreign patent office in a counterpart application, and
  - the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office
- (2) the closest information pending claim patents pending claim patents pending claim patents (b) Under this section, information and (1) It establishes, by itself (2) It refutes, or is incompared to the class of Under this section, information is material to patentability when it is not cumulative to information already of record or being
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.
- A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the Especification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.
  - Individuals associated with the filing or prosecution of a patent application within the meaning of this section are: (c)
    - (1) Each inventor named in the application:
    - Each attorney or agent who prepares or prosecutes the application; and
    - Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
  - Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, (d) agent, or inventor.





## SMALL BUSINESS

SHUFFLE MASTER

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

	wner of the small business concern	
b) () an off	ficial of the small business concern	empowered to act on behalf of the concern identified below:
	NAME OF CONCERN: ADDRESS OF CONCERN:	Shuffle Master, Inc. 10901 Valley View Road Eden Prairie, MN 55344
18, and repro Code, in that this statement persons empl affiliates of e parties control	duced in 37 C.F.R. 1.9(d), for pury the number of employees of the co t, (1) the number of employees of oyed on a full-time, part-time or to ach other when either, directly or bls or has the power to control both	
with regard t		w have been conveyed to and remain with the small business concern identified above AMING APPARATUS FOR WAGERING WITH UNIVERSAL COMPUTERIZED INIQUE ARCHITECTURE by inventors Mark L. Yoseloff, Mark D. Jackson and the filed herewith.
grights to the qualify as an	invention is listed below* and no independent inventor under 37 C	business concern are not exclusive, each individual, concern or organization having ights to the invention are held by any person, other than the inventor, who could not F.R. 1.9(c) or by any concern which would not qualify as a small business concern ion under 37 C.F.R. 1.9(e). *NOTE: Separate verified statements are required from wing rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)
j Ename		
ADDRESS	UAL b) () SMALL BUSINE	S CONCERN c) ()NONPROFIT ORGANIZATION
entity status status as a s	lge the duty to file, in this applicat prior to paying, or at the time of p mall entity is no longer approprian	on or patent, notification of any change in status resulting in loss of entitlement to small aying, the earliest of the issue fee or any maintenance fee due after the date on which e. (37 C.F.R. 1.28(b))
are believed	I to be true; and further that these s unishable by fine or imprisonment, ents may jeopardize the validity o	n of my own knowledge are true and that all statements made on information and belief tatements were made with the knowledge that willful false statements and the like so or both under Section 1001 of Title 18 of the United States Code, and that such willful the application, any patent issuing thereof, or any patent to which this verified
NAME	MARK L. YOSELOFF	
TITLE	EXECUTIVE VICE PRESID	ENT
ADDRESS	MATAL	9/24/99

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. Professional Association

DATE